

# Codebook

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## 1. Sub-state communities included and time coverage

Country	Sub-state community	Identifier abbreviation	Period under study
Belgium	Brussels (Flemish)	BFI	1830-2020
	Brussels (Francophones)	BFr	1830-2020
	Flanders	Fla	1830-2020
	German-speaking Community	GsC	1925-1940, 1945-2020
	Wallonia	WIn	1830-2020
Spain	Andalusia	And	1868-2020
	Aragon	Ara	1868-2020
	Asturias	Ast	1868-2020
	Balearic Islands	BIs	1868-2020
	Basque Country*	Bsq	1868-2020
	Canary Islands	CIs	1868-2020
	Cantabria	Can	1868-2020
	Castile and Leon	CyL	1868-2020
	Castilla-la Mancha	CIM	1868-2020
	Catalonia	Cat	1868-2020
	Extremadura	Ext	1868-2020
	Galicia	Gal	1868-2020
	La Rioja	Rio	1868-2020
	Leon	Leo	1868-2020
	Madrid	Mad	1868-2020
	Murcia	Mur	1868-2020
Navarre	Nav	1868-2020	
Valencia	Val	1868-2020	
United Kingdom	Cornwall	Cor	1707-2020
	England	Eng	1707-2020
	Ireland	Ire	1801-1921
	London	Lon	1707-2020
	Northern Ireland (Nationalists)	NIN	1921-2020
	Northern Ireland (Unionists)	NIU	1801-2020
	Scotland	Sco	1707-2020
	Wales	WIs	1707-2020

\* Comprising the provinces of Álava, Gipuzkoa and Biscay, as for the current autonomous community. Navarra is treated separately as an own sub-state community.

## 2. Database sections, identifiers and dimensions

### 2.1. Sections

ALL_YEARLY	Data for all sub-state communities, disaggregated on a yearly basis.
BEL	Data for all sub-state communities in Belgium, disaggregated by periods of change.
SPA	Data for all sub-state communities in Spain, disaggregated by periods of change.
UK	Data for all sub-state communities in the United Kingdom, disaggregated by periods of change.

\* Periods of change: each time (year) a change occurred either in regionalist party demands, traditional party demands or autonomy statutes.

### 2.2. Identifiers

In all sections:

SSCommunity | Sub-state community under observation.

In ALL\_YEARLY:

Country | Country for each sub-state community.

In BEL, SPA and UK:

ID	Unique identifier for each period of change within a sub-state community.
From	Starting year for the period of change.
To	Ending year for the period of change.

### 2.3. Dimensions

In BEL, SPA and UK:

Traditional party demand	Total SAS score for the traditional party demand.
Regionalist party demand	Total SAS score for the regionalist party demand.
Autonomy statute	Total SAS score for the autonomy statute.
TParty	Traditional party/ies (with the highest autonomy demand) taken into consideration for the traditional party demand in the respective period.
RParty	Regionalist party/ies (with the largest vote share) taken into consideration for the regionalist party demand in the respective period.
None	Absence of group rights.
GrRec	Recognition of the group/entity's particularism.

PFac	Partial linguistic and cultural facilities.
EFac	Enhanced linguistic and cultural facilities.
ExAdm1	Executive administrative self-rule for 1 policy sector.
ExAdmMtp	Executive administrative self-rule for at least 2 policy sectors.
AdvAdm1	Advisory administrative self-rule for 1 policy sector.
AdvAdmMtp	Advisory administrative self-rule for at least 2 policy sectors.
AdvAdmEIAs	Advisory administrative self-rule with an elected assembly.
DisAdm1	Discretionary administrative self-rule for 1 policy sector.
DisAdmMtp	Discretionary administrative self-rule for at least 2 policy sectors.
DisAdmBor	Administrative borrowing autonomy.
DisAdmFisc	Administrative fiscal autonomy.
DisAdmEIAs	Discretionary administrative self-rule with an elected assembly.
DisAdmOwEx	Discretionary administrative self-rule with an own executive.
LegEIAs	Legislative self-rule with an elected assembly.
LegOwEx	Legislative self-rule with an own executive.
Cul	Cultural matters.
Edu	Educational matters.
SocSan	Social and sanitary matters.
Ter	Territorial matters.
Eco	Economic matters.
SocSec	Social security matters.
Cit	Citizenship and immigration matters.
Munic*	Organisation and oversight of local government on municipal level.
Interm*	Organisation and oversight of local government on intermediate level.
Pol*	Policing competences.
Def*	Defense competences

Jud*	Own judicial system.
PenCiv*	Own general penal and civil law.
LegBor	Legislative borrowing autonomy.
LegFisc	Legislative fiscal autonomy.
ConAut	Constitutive autonomy.
IntRel	International relations in own competence areas.
NoVeto*	No government veto.
GStat*	Guaranteed statute.
GRepP	Guaranteed representation in the state parliament.
VetoP	Veto in the state parliament.
GRepG	Guaranteed representation in the state government.
VetoG	Veto in the state government.

\* For the dimensions Munic & Intern, Pol & Def, Jud & PenCiv, NoVeto & GStat, a column 'Tot' is added, which takes the mean of the two respective dimensions because they are treated as a joint component in the scale.

In ALL YEARLY:

TP	Total SAS score for the traditional party demand.
RP	Total SAS score for the regionalist party demand.
AUT	Total SAS score for the autonomy statute.

→ In the summary of all sub-dimensional scores per year, these three abbreviations are added to all previous sub-dimensional codes to distinguish traditional party demands, regionalist party demand and autonomy statute scores.

### 3. Conceptual overview sheet

#### SUB-STATE AUTONOMY SCALE

Dimension	Content	Fixed anchor	Prerogative score	Cumulative score
I. Group rights	Absent	0.00	+ 0.00	0.00
	Recognition of group/entity particularism		+ 0.03	0.03
	Partial ling./cult. facilities		+ 0.03	0.06
	Advanced ling./cult. facilities		+ 0.03	0.09
a.1 Executive	Own administration for 1 policy sector	0.12	+ 0.00	0.12
	Own administration for ≥ 2 policy sectors		+ 0.02	0.14
a.2 Advisory	Advisory competences in 1 policy sector	0.16	+ 0.00	0.16
	Advisory competences in ≥ 2 policy sectors		+ 0.02	0.18
	Directly elected assembly		+ 0.02	0.20
II.a Administrative Self-rule	Discretionary administration of 1 policy sector	0.22	+ 0.00	0.22
	Discretionary administration ≥ 2 policy sectors		+ 0.02	0.24
	a.3 Fiscal autonomy (adm.)		+ 0.02	0.26
	Discretionary Borrowing autonomy (adm.)		+ 0.02	0.28
	Directly elected assembly		+ 0.02	0.30
	Own executive		+ 0.02	0.32
III. Shared rule (i)	State parliament Guaranteed representation		+ 0.04	0.36
	Veto power		+ 0.04	0.40
	State government Guaranteed representation		+ 0.04	0.44
	Veto power		+ 0.04	0.48
	<i>Self-rule anchor</i>			0.50
Institutional statute	Directly elected assembly	0.52	+ 0.00	0.52
	Own executive		+ 0.02	0.54
II.b Legislative Self-rule	Policy scope Cultural matters		+ 0.00-0.02	0.56
	Educational matters		+ 0.00-0.02	0.58
	Social and sanitary matters		+ 0.00-0.02	0.60
	Territorial matters		+ 0.00-0.02	0.62
	Economic matters		+ 0.00-0.02	0.64
	Social security matters		+ 0.00-0.02	0.66
	Citizenship and immigration matters		+ 0.00-0.02	0.68
Special competences	Organisation and oversight of local govern.		+ 0.00-0.02	0.70
	Police & defence		+ 0.00-0.02	0.72
	Judicial system & general penal and civil law		+ 0.00-0.02	0.74
Financial autonomy	Fiscal autonomy (leg.)		+ 0.00-0.02	0.76
	Borrowing autonomy (leg.)		+ 0.00-0.02	0.78
Statutory autonomy	Constitutive autonomy		+ 0.00-0.02	0.80
	International relations in competence areas		+ 0.00-0.02	0.82
	No government veto & guaranteed statute		+ 0.00-0.02	0.84
	State parliament Guaranteed representation		+ 0.04	0.88
III. Shared rule (ii)	Veto power		+ 0.04	0.92
	State government Guaranteed representation		+ 0.04	0.96
	Veto power		+ 0.04	1.00

#### SCORE CALCULATION

If (II.b > 0)	→ SAS = II.b + III.
If (II.b = 0) and (II.a.3 > 0)	→ SAS = II.a.3 + III.
If (II.b = 0) and (II.a.3 = 0) and (II.a.2 > 0)	→ SAS = II.a.2 + III.
If (II.b = 0) and (II.a.3 = 0) and (II.a.2 = 0) and (II.a.1 > 0)	→ SAS = II.a.1 + III.
If (II.b = 0) and (II.a.3 = 0) and (II.a.2 = 0) and (II.a.1 = 0)	→ SAS = I. + III.

#### SPECIFICATIONS

##### I. Group rights

- Recognition of group/entity particularism: in law or political practice.
- Linguistic or cultural facilities: Sub-state language used in (i) primary education, (ii) secondary education, (iii) administrations, (iv) judiciary. (v) Cultural subsidies. Partial: 1-3 out of (i-v). Enhanced: 4-5 out of (i-v).

##### II.a Administrative Self-rule

- Executive: institution implementing state decisions without room for manoeuvre (e.g. decentr. ministry).
- Advisory: institution that is deemed representative consulted by the state on behalf of the community.
- Discretionary: institution implementing state decisions with room for appreciation (e.g. decentr. ass./exec.).

##### II.b Legislative Self-rule

###### Policy scope & Special competences

- Cultural: culture, media, leisure and sports, arts and patrimony, use of language.
- Educational: primary, secondary and higher education, para-scholar education, scientific research.
- Social and sanitary: social assistance, health.
- Territorial: territorial management, environment, agriculture, housing, energy, transport, mobility, roads.
- Economic: economy, employment, trade, monetary policy.
- Social security: family allowances, health insurance, unemployment benefits, pensions.
- Citizenship and immigration: territorial access, residence permit, integration policy, naturalization.
- Organization and oversight of local govern.: municipal level (half score), intermediate level (half score).
- Police & defence: police force (half score), defence force (half score).
- Judicial system & general penal and civil law: judicial system (half score), penal law (quarter score), civil law (quarter score).

###### → To be coded by comparing state and sub-state competences:

- Exclusive competence of the state level: 0.00 of the code.
- State level detains more competences than the sub-state level: 0.25 of the code.
- Competences of the state and the sub-state level are comparable: 0.50 of the code.
- Sub-state level detains more competences than the state level: 0.75 of the code.
- Exclusive competence of the sub-state level: 1.00 of the code.

###### Financial autonomy (draws on the Regional Authority Index):

- Fiscal:
  - Central government sets the base and rate of all sub-state taxes: 0.00 of the code
  - Sub-state level sets the rate of minor taxes: 0.25 of the code.
  - Sub-state level sets the base and rate of minor taxes: 0.50 of the code.
  - Sub-state level sets the rate of one major tax (income, corpor., VAT, sales): 0.75 of the code.
  - Sub-state level sets the rate and base of one major tax: 1.00 of the code.
- Borrowing:
  - Sub-state level cannot borrow: 0.00 of the code.
  - Sub-state level can borrow after prior authorization of the state: 0.33 of the code.
  - Sub-state level can borrow without author. but within certain rules: 0.67 of the code.
  - Sub-state level can borrow without central restrictions: 1.00 of the code.

###### Statutory autonomy:

- Constitutive autonomy: determine the rules of functioning of the sub-state institutions.
- International relations in competence areas: negotiate and ratify intern. agreements in competence areas.
- No government veto & guaranteed statute: state law cannot break sub-state law (half score) and sub-state institutions cannot be removed unilaterally (half score).

##### III. Shared rule

- Guaranteed representation: *de jure* (through quotas) or *de facto* (through electoral districts).
- Veto power: *de jure* (through special majorities) or *de facto* (through representation in cabinet).